#### INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

UNDER 37

**FORM PTO-1449** 

Sheet 1 of 11

APPLICATION SERIAL NO.: 10/630,473	ATTY DKT NO.: 38349-0103H	CONFIRM NO.: 9190		
APPLICANTS: Deem et al.	CUSTOMER NO.: 24961			
FILING DATE: July 29, 2003	GROUP ART UNIT: 3781 3743			

# **U.S. PATENT DOCUMENTS**

† EX'R INITIAL	REF.#	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
ains	A	2003/0018327 (A1)	01/23/03	Truckai et al.	606/32	07/18/02
-	В	2003/0018344 (A1)	01/23/03	Kaji et al.	606/130	07/19/02
	С	2003/0050648 (A1)	03/13/03	Alferness et al.	606/108	09/11/01
	D	2003/0083671(A1)	05/01/03	Rimbaugh et al.	606/108	10/25/01
	Ε	2002/0138135 (A1)	` 09/26/02	Duerig et al.	623/1.24	03/21/01
	F	2002/0112729 (A1)	08/22/02	DeVore et al.	128/207.15	02/21/01
	G	2002/0111620 (A1)	08/15/02	Cooper et al.	606/41	09/04/01
	Н	2002/0111619 (A1)	08/14/02	Keast et al.	606/41	09/04/01
1	1	2002/0095209 (A1)	07/18/02	Zadno-Azizi et al.	623/1.24	02/08/02
•	J	2002/0087153 (A1)	07/04/02	Roschak et al.	606/27	09/04/01
-	К	2002/0077696 A1	06/20/02	Zadno-Azizi et al.	623/1.24	02/21/02
•	L	2002/0077593 (A1)	06/20/02	Perkins et al.	604/96.01	02/11/02
•	М	2002/0062120 (A1)	05/23/02	Perkins et al.	604/516	12/13/01
	N	2002/0026233(A1)	02/28/02	Shaknovich	623/1.24	03/20/01
•	0	2002/0007831(A1)	1/24/02	Davenport et al.	128/200.24	07/18/01
•	Р	2001/0056274 (A1)	12/27/01	Perkins et al.	604/516	07/02/01
•	Q	2001/0052344 (A1)	12/20/01	Doshi	128/207	06/14/01
	R	2001/0051799 (A1)	12/13/01	Ingenito	604/516	04/20/01
1	s	2001/0041906 (A1)	11/15/01	Gonzalez	606/191	07/24/01
	Т	2001/0037808 A1	11/08/01	Deem et al.	128/200.24	03/02/01
<u></u>	U	2001/0025132 (A1)	09/27/01	Alferness et al.	600/37	02/09/01
UII	₹ v	2,981,254	04/25/61	Vanderbilt	128/350	11/12/57

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<sup>†</sup> EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. Include copy of this form in next communication to applicant.

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## **INFORMATION DISCLOSURE STATEMENT**

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APPLICATION SERIAL NO.: 10/630,473	ATTY DKT NO.: 38349-0103H	CONFIRM NO.: 9190
APPLICANTS: Deem <i>et al</i> .	CUSTOMER NO.: 24961	
FILING DATE: July 29, 2003	GROUP ART UNIT:	

			U.S. P	ATENT DOCUMENTS		
(2)	W	3,657,744	04/25/72	Ersek	128/334	05/08/70
	X	3,788,327	01/29/74	Donowitz et al.	128/350	03/30/71
	Y	3,874,388	04/01/75	King et al.	128/334	02/12/73
1	Z	4,014,318	03/29/77	Dockum et al.	128/1	05/22/75
	AA	4,086,665	05/02/78	Pointer	623/1	12/16/76
	AB	4,212,463	07/15/80	Repinski et al.	273/418	02/17/78
	AC	4,250,873	02/17/81	Bonnet	600/104	04/17/78
	AD	4,302,854	12/01/81	Runge	3/1.7	06/04/80
	AE	4,477,930	10/23/84	Totten et al.	3/1.5	09/28/82
1	AF	4,710,192	12/01/87	Liotta et al.	623/1	10/17/86
1	AG	4,732,152	03/22/88	Wallsten et al	128/343	12/5/85
1	AH	4,759,758	07/26/88	Gabbay	623/2	12/07/84
1	Al	4,795,449	01/03/89	Schneider et al.	604/329	08/04/86
	AJ	4,808,183	02/28/89	Panje	623/9	06/03/80
1	AK	4,819,664	04/11/89	Nazari	128/207.15	02/17/88
•	AL	4,830,003	05/16/89	Wolff et al.	128/343	06/17/88
•	AM	4,832,680	05/23/89	Haber et al.	600/31	07/03/86
	AN	4,846,836	07/11/89	Reich	623/11	10/03/88
1	AO	4,850,999	07/25/89	Planck	623/1	05/26/81
_1_	AP	4,852,568	08/01/89	Kensey	128/325	12/28/87
28	AQ	4,877,025	10/31/89	Hanson	128/107.16	10/06/88
KAR	AR	4,934,999	06/19/90	Bader	600/29	07/28/88

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE APPLICATION SERIAL NO.: ATTY DKT NO.: CONFIRM NO .: 10/630,473 38349-0103H 9190 INFORMATION DISCLOSURE STATEMENT NOER 37 CFR § 1.56, § 1.97, and § 1.98 CUSTOMER NO .: APPLICANTS: **FORM PTO-1449** Deem et al. 24961 GROUP ART UNIT: FILING DATE: Sheet 3 of 11 July 29, 2003

			U.S. P	ATENT DOCUMENTS		
ANY	- AS	4,968,294	11/06/90	Salama	600/30	02/09/89
1	AT	5,061,274	10/29/91	Kensey	606/213	12/04/89
	AU	5,116,360	05/26/92	Pinchuk et al.	623/1	12/27/90
	AV	5,116,564	05/26/92	Jansen et al.	264/255	10/10/89
	AW	5,123,919	06/23/92	Sauter et al.	623/2	06/23/92
-	AX	5,151,105	09/29/92	Kwan-Gett	623/1	10/07/91
	AY	5,161,524	11-92	Evans	128/203.15	
	AZ	5,306,234	04/26/94	Johnson	604/49	03/23/93
	BA	5,352,240	10/04/94	Ross	623/2	05/31/89
	88	5,358,518	10/25/94	Camilli	623/2	01/25/93
	ВС	5,366,478	11/22/94	Brinkerhoff et al.	660/213	07/27/93
	BD	5,382,261	01/17/95	Palmaz	606/158	09/01/92
	BE	5,392,775	02/28/95	Adkins et al.	128/207.16	03/22/94
	BF	5,409,019	04/25/95	Wilk	128/898	11/03/93
	BG	5,411,507	05/02/95	Heckele	606/108	01/05/94
	вн	5,411,552	05/02/95	Andersen et al.	623/2	06/14/94
-	81	5,413,599	05/09/95	Imachi et al.	623/2	12/13/93
1	BJ	5,417,226	05/23/95	Juma	128/885	06/09/94
4	ВК	5,445,626	08/29/95	Gigante	604/349	06/03/94
1	BL	5,486,154	01/23/96	Kelleher	600/104	06/08/93
200	ВМ	5,499,995	03/19/96	Teirstein	606/192	05/25/94
U.W.	BN	5,500,014	03/19/96	Quijano et al.	623/2	05/09/96

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## INFORMATION DISCLOSURE STATEMENT

EUNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.:	ATTY DKT NO.:	CONFIRM NO.:
10/630,473	38349-0103H	9190
APPLICANTS: Deem <i>et al.</i>	CUSTOMER NO.: 24961	
FILING DATE: July 29, 2003	GROUP ART UNIT:	 Z

	^		U.S. P	ATENT DOCUMENTS		
alt	ВО	5,562,608	10/08/96	Sekins et al.	604/20	04/13/95
-	BP	5,645,565	07/08/97	Rudd et al.	606/213	06/13/93
	BQ	5,660,175	08/26/97	Dayal	128/207.15	08/21/95
	BR	5,662,713	09/02/97	Andersen et al.	623/12	09/14/95
	BS	5,683,451	11/04/97	Lenker et al.	623/1	06/07/95
	ВТ	5,697,968	12/16/97	Rogers et al.	623/1	08/10/95
	BU	5,755,770	5/26/98	Ravenscroft	623/1	01/31/95
-	BV	5,800,339	09/01/98	Salama	600/29	05/02/97
	BW	5,840,081	11/24/98	Andersen et al.	623/2	02/19/97
	BX	5,851,232	12/22/98	Lois	623/1	3/13/97
	BY	5,855,587	01/05/99	Hyon et al.	606/188	08/22/96
	BZ	5,855,597	01/5/99	Jayaraman	623/1	05/07/97
	CA	5,855,601	01/05/99	Bessler et al.	623/2	06/21/96
	СВ	5,944,738	08/31/99	Amplatz et al.	606/213	02/06/98
	CC	5,947,997	09/07/99	Pavonik et al.	606/213	06/30/97
	CD	5,954,768	09/21/99	Zadno-Azizi et al.	623/2	09/16/97
-	CE	5,957,949	09/28/99	Leonhardt et al.	606/194	05/01/97
	CF	5,976,174	11/02/99	Ruiz	606/213	12/15/97
	CG	5,984,965	11/16/99	Knapp et al.	623/12	08/28/97
1	СН	6,007,575	12/28/99	Samuels	623/1	06/06/97
hin	CI	6,009,614	01/04/00	Morales	29/516	04/21/98
ald	ω	6,020,380	02/01/00	Killian	514/570	11/25/98

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#### INFORMATION DISCLOSURE STATEMENT

LINDER 37 CFR § 1.56, § 1.97, and § 1.98

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•	APPLICATION SERIAL NO.: 10/630,473	ATTY DKT NO.: 38349-0103H	CONFIRM NO.: 9190		
	APPLICANTS: Deem et al.	CUSTOMER NO.: 24961	<u> </u>		
	FILING DATE: July 29, 2003	GROUP ART UNIT:			

606/108

623/2.18

604/516

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U.S. PATENT DOCUMENTS						
all	<b>У</b> ск	6,022,312	02/08/00	Chaussy et al.	600/29	02/20/98
<del>****</del>	CL	6,027,525	02/22/00	Suh et al.	623/1	05/23/97
	CM	6,051,022	04/18/00	Cai et al.	623/2	12/30/98
	CN	6,068,635	05/30/00	Gianotti	606/108	06/04/98
	со	6,068,638	05/30/00	Makower	606/159	10/27/98
- <del> </del> -	СР	6,077,291	06/20/00	Das	606/213	11/26/96
1	ca	6,083,255	07/04/00	Laufer et al.	607/96	12/19/97
1	CR	6,123,663	09/26/00	Rebuffat	A61F2/00	12/23/98
-	cs	6,135,729	10/24/00	Aber:	417,420	07/09/99
	СТ	6,135,991	10/24/00	Muni et al.	604/509	03/27/98
1	ĊΠ	6,141,855	11/07/00	Morales	29/516	04/28/98
$\dashv$	CV	6,162,245	12/19/00	Jayaraman	623/1.15	01/05/99
1	CW	6,168,614 (B1)	01/02/01	Andersen et al.	623/1	02/20/98
-	CX	6,174,323 (81)	01/16/01	Biggs et al.	606/232	06/05/98
	CY	6,183,520 (B1)	02/06/01	Pintauro et al.	623/23.64	11/10/98
•	CZ	6,200,333 (B1)	03/13/01	Laufer	607/96	12/31/98
•	DA	6,206,918 (B1)	03/27/01	Campbell et al.	623/2.32	05/12/99
	DB	6,234,996 (B1)	05/22/01	Bagaoisan et al.	604/97.01	06/23/99
	DC	6,240,615 (B1)	06/05/01	Kimes et al.	29/516	09/30/99
<del>-  </del>	QQ	6,245,102 (B1)	06/12/01	Jayaraman	623/1.15	07/21/99

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Alfemess et al.

Campbell et al.

Perkins et al.

Alfemess et al.

07/11/01

08/07/01

09/11/01

09/25/01

DE

DF

DG

DH

6,258,100

6,270,527 (B1)

6,287,290 (B1)

6,293,951 (B1)

SD 634126 v1 11/17/03 3:52 PM (38349.0103G)

10/10/00

10/,16/98

07/02/99

08/24/99

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## **INFORMATION DISCLOSURE STATEMENT**

EUNDER 37 CFR § 1.56, § 1.97, and § 1.98

**FORM PTO-1449** 

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•	APPLICATION SERIAL NO.: 10/630,473	ATTY DKT NO.: 38349-0103H	CONFIRM NO.: 9190
	APPLICANTS: Deem et al.	CUSTOMER NO.: 24961	
	FILING DATE: July 29, 2003	GROUP ART UNIT:	

	U.S. PATENT DOCUMENTS						
as	A DI	6,302,893 (B1)	10/16/01	Limon et al.	606/108	06/19/00	
1	DJ	6,312,407 (B1)	11/06/01	Zadno-Azizi et al.	604/103.03	02/19/98	
	DK	6,325,777 (B1)	12/04/01	Zadno-Azizi et al.	604/97.01	10/01/99	
1	DL	6,325,778 (B1)	12/04/01	Zadno-Azizi et al.	604/99.02	01/01/99	
1	DM	6,327,772 (B1)	12/11/01	Zadno-Azizi et al.	29/557	04/13/99	
	DN	6,328,689 (B1)	12/11/01	Gonzalez	600/37	03/23/00	
	DO	6,355,014 (B1)	03/12/02	Zadno-Azizi et al.	604/99.02	03/13/98	
	DP	6,398,775 (B1)	06/04/02	Perkins et al.	604/514	10/21/99	
1	DQ	6,402,754 (B1)	06/11/02	Gonzalez	606/69	10/20/99	
-	DR	6,416,554 (B1)	07/09/02	Alfemess et al.	623/23.65	08/24/99	
1	DS	6,458,076	10/01/02	Pruitt	600/146	02/01/00	
1	DT	6,485,407 (B2)	11/26/02	Alfemess et al.	600/37	02/09/01	
•	UQ	6,491,706 (B1)	12/10/02	Alfemess et al.	606/157	07/10/01	
1	DV	6,493,589 (B1)	12/10/02	Medhkour et al.	607/99	08/10/00	
a h	DW	6,510,846 (B1)	01/28/03	O'Rourke	128/200.21	10/26/00	
W	DX DX	6,527,761 (B1)	03/04/03	Soltesz et al.	604/516	10/27/00	
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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **INFORMATION DISCLOSURE STATEMENT** BUNDER 37 CFR § 1.56, § 1.97, and § 1.98

**FORM PTO-1449** 

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APPLICATION SERIAL NO.: 10/630,473	ATTY DKT NO.: 38349-0103H	CONFIRM NO.: 9190
APPLICANTS: Deem et al.	CUSTOMER NO.: 24961	
FILING DATE: July 29, 2003	GROUP ART UNIT:	Ź

FOREIGN PATENT DOCUMENTS						
REF.#	Patent Number	Date	Country	TRANSLATION (YES/NO)		
DY	00/15149	03/23/00	PCT			
DZ	00/42950	07/27/00	PCT			
EA	00/51510	09/08/00	PCT			
ЕВ	00/62699	10/26/00	PCT			
EC	00/78386 (A1)	12/28/00	PCT			
ED	00/78407 (A1)	12/28/00	РСТ			
EΕ	01/02042 (A1)	01/11/01	РСТ			
EF	01/03642 (A1)	01/18/01	РСТ			
EG	01/05334 (A1)	01/25/01	PCT			
ЕН	01/10313 (A1)	02/15/01	PCT			
EI	01/10314 (A2)	02/15/01	PCT			
EJ	01/12104 (A1)	02/22/01	PCT			
EK	01/13839 (A1)	03/01/01	PCT			
EL	01/13908 (A2)	03/01/01	PCT			
EM	01/28433 (A1)	04/26/01	EP			
EN	01/45590 (A2)	06/28/01	PCT			
EO	01/49213 (A2)	07/12/01	РСТ			
EP	01/54585 (A1)	08/02/01	PCT			
	DY DZ EA EB EC ED EE EF EG EH EI EJ EK EL EM EN EO	DY 00/15149  DZ 00/42950  EA 00/51510  EB 00/62699  EC 00/78386 (A1)  ED 00/78407 (A1)  EE 01/02042 (A1)  EF 01/03642 (A1)  EG 01/05334 (A1)  EH 01/10313 (A1)  EI 01/10314 (A2)  EJ 01/12104 (A1)  EK 01/13839 (A1)  EL 01/13908 (A2)  EM 01/28433 (A1)  EN 01/45590 (A2)  EO 01/49213 (A2)	DY 00/15149 03/23/00  DZ 00/42950 07/27/00  EA 00/51510 09/08/00  EB 00/62699 10/26/00  EC 00/78386 (A1) 12/28/00  ED 00/78407 (A1) 12/28/00  EE 01/02042 (A1) 01/11/01  EF 01/03642 (A1) 01/18/01  EG 01/05334 (A1) 01/25/01  EH 01/10313 (A1) 02/15/01  EI 01/10314 (A2) 02/15/01  EJ 01/12104 (A1) 02/22/01  EK 01/13839 (A1) 03/01/01  EL 01/13908 (A2) 03/01/01  EM 01/28433 (A1) 04/26/01  EN 01/45590 (A2) 06/28/01  EO 01/49213 (A2) 07/12/01	DY         00/15149         03/23/00         PCT           DZ         00/42950         07/27/00         PCT           EA         00/51510         09/08/00         PCT           EB         00/62699         10/26/00         PCT           EC         00/78386 (A1)         12/28/00         PCT           ED         00/78407 (A1)         12/28/00         PCT           EE         01/02042 (A1)         01/11/01         PCT           EF         01/03642 (A1)         01/18/01         PCT           EG         01/05334 (A1)         01/25/01         PCT           EH         01/10313 (A1)         02/15/01         PCT           EI         01/10314 (A2)         02/15/01         PCT           EJ         01/12104 (A1)         02/22/01         PCT           EK         01/13839 (A1)         03/01/01         PCT           EL         01/13908 (A2)         03/01/01         PCT           EM         01/28433 (A1)         04/26/01         EP           EN         01/45590 (A2)         06/28/01         PCT           EO         01/49213 (A2)         07/12/01         PCT		

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## **INFORMATION DISCLOSURE STATEMENT**

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UNDER 37 CFR § 1.56, § 1.97, and § 1.98

**FORM PTO-1449** 

Sheet 8 of 11

APPLICATION SERIAL NO.: 10/630,473	ATTY DKT NO.: 38349-0103H	CONFIRM NO.: 9190
APPLICANTS: Deem et al.	CUSTOMER NO.: 24961	
FILING DATE: July 29, 2003	GROUP ART UNIT:	

	FOREIGN PATENT DOCUMENTS						
ax	EQ	01/54625 (A1)	08/02/01	PCT			
	ER	01/54685 (A1)	08/02/01	PCT			
	ES	01/66190 (A2)	09/13/01	PCT			
	ET	01/74271 (A1)	10/11/01	PCT			
	EU	01/87170 (A1)	11/22/01	PCT			
	EV	01/89366 (A2)	11/29/01	PCT			
	EW	01/95786 (A2)	12/20/01	PCT			
	EX	02/05884 (A2)	1/24/02	PCT			
	EY	02/22072 (A2)	03/21/02	PCT			
	EZ	02/32333 (A1)	04/25/02	PCT			
	FA	02/34322 (A2)	05/02/02	PCT			
	FB	02/38038 (A2)	05/16/02	PCT			
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ail	FI	03/022124 (A2)	03/20/03	PCT			

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<sup>†</sup> EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. Include copy of this form in next communication to applicant.

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CONFIRM NO .: APPLICATION SERIAL NO.: ATTY DKT NO.: 38349-0103H 9190 10/630,473 INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.56, § 1.97, and § 1.98 APPLICANTS: CUSTOMER NO .: **FORM PTO-1449** Deem et al. 24961 GROUP ART UNIT: 3764 名743 Sheet 9 of 11 FILING DATE: July 29, 2003

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		FX	98/19633	05/14/98	PCT		
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		FZ	98/44854 (A1)	10/15/98	PCT		
	^	GA	98/48706	11/5/98	PCT		
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	GH	99/64109 (A1)	12/16/99	PCT		

x English Language Derwent is enclosed.

x+ English Language Equivalent is enclosed.

	OTHER DOCUMENTS						
† EX'R INITIAL		REF.#	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)				
		GI	Al Jishi et al., "Selective Bronchial Occlusion for Treatment of Bullous Interstitial Emphysema and Bronchopleural Fistula." J. of Pediatric Surgery, 29:1545-1547, 1994				
	GJ		Article: "Autocath® 100 – Nonsurgical, Intraurethral Bladder Control Device for Incontinent and Retentive Women – Dr. Kulisz's Development"				
	GK		Derwent citing Russian Patent No. RU 2140211, published 10/27/99, for: "Method of surgical treatment of patients with pathology of respiratory organs complicated with pulmonary hemorrhages"				
GL Derwent citing Soviet Union Patent No. SU 852-321, published 07/08/81, for: "Treatment for acute puln pleural disease in children - by pneumo-abcessotomy simultaneous with occlusion of affected lung part		Derwent citing Soviet Union Patent No. SU 852-321, published 07/08/81, for: "Treatment for acute pulmonary and pleural disease in children - by pneumo-abcessotomy simultaneous with occlusion of affected lung part"					
		GM	Derwent# 007607249 WPI Acc. No: 1988-241181/198834 (citing Russian Application No. SU4026409, published February 21, 1986), Russian Patent No. SU 1371700				
		Derwent# 008650867 WPI Acc. No: 1991-154896/199121 (citing Russian Application No. SU4280143, published July 07, 1987), Russian Patent No. SU 1593651					

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FORE	W PTO-1449	APPLICANTS: Deem et al.	CUSTOMER NO.: 24961		
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	GS	Puhakka et al., "Acute Bronchial Obstruction: An Experimental Rabbit Model Study", Int. J. of Pediatric Otorhinolaryngology, 18:107-118, 1989
	GT	Snider et al., The Definition of Emphysema: Report of the National Heart Lung and Blood Institute, Division of Lung Diseases Workshop, Am. Rev. Respir. Dis., 132:182-185, 1985
W.	GU	Woodring et al., "Pneumothorax ex Vacuo", CHEST, 100:1102-1124, 1996

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